

Services for Regulatory Lawyers

Independent operational and governance support for regulatory and contentious practices whose clients face findings, remediation or heightened supervision.

When a client is in regulatory difficulty, the legal strategy needs an operational counterpart: someone who can fix what the regulator found and evidence it. Prospero Advisory provides senior, conflict-free support alongside your advice, not in competition with it. We are not an administrator, fiduciary or audit firm, and we hold no competing mandate.

01 REMEDIATION DESIGN & DELIVERY

Turning findings into a credible, deliverable remediation plan: scoping what actually needs to change, sequencing it realistically, leading delivery inside the client, and building the evidence file that shows the regulator sustained change rather than promises.

When lawyers call us: findings the client cannot resource internally; a remediation plan the regulator considered thin; deadlines that need programme leadership, not another report.

02 INDEPENDENT REVIEWS

Independent operational and governance reviews in a supervisory context: an experienced, credible reviewer the client and the regulator can both work with, reporting factually and without an eye on follow-on work.

When lawyers call us: the regulator expecting an independent review; a board needing to know how bad it really is before responding; contested findings that turn on facts needing to be established properly.

03 GOVERNANCE RECONSTRUCTION

Rebuilding governance after it has failed: board and committee reconstruction, framework redesign, accountability arrangements, and the demonstrable oversight that supports any application to lift conditions or restore standing.

When lawyers call us: directors departed mid-crisis; conditions on a licence tied to governance; a client who needs to show the regulator a different firm.

04 CRISIS & INTERIM OPERATIONAL LEADERSHIP

Senior interim capacity when a regulated business is under strain: stabilising operations during investigations, managing regulator-facing workstreams, supporting boards through enforcement processes, and keeping clients served while the issue is resolved.

When lawyers call us: a client losing key staff under pressure; supervision meetings needing operational answers; business as usual at risk while the legal process runs.

Also available: provider due diligence, board and governance advisory in calmer times, and project support for complex mandates. All enquiries are treated with complete confidentiality.

WHY PROSPERO

Prospero was founded by Paul Monahan after a career on all three sides of the Jersey funds market: authorising funds and managers at the JFSC, leading fund administration as Executive Director and Co-Head of Real Estate at Langham Hall, and running Corporate Services and Private Equity in Jersey for Apex Group. Every engagement draws on all three: the regulator's eye, the operator's instincts and complete independence, delivered personally and at senior level.